



Express Mail No:  
EK709292574US

Rev. 04/01

Docket No. UV-225

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael D. Ellis et al.

Application No.: 09/996,052 Confirmation No.: 1252

Filed : November 28, 2001

For : INTERACTIVE TELEVISION APPLICATION WITH  
RESEARCH FEATURES

**RECEIVED**

Group Art Unit : 2152

MAY 28 2003

Examiner : Not yet assigned

**Technology Center 2100**

New York, New York  
May 23, 2003

Hon. Commissioner  
for Patents  
P.O. Box 1450  
Arlington, Virginia 22313-1450

TRANSMITTAL LETTER FOR  
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This  
Statement is submitted:

[ ] within three months of the application filing  
date;

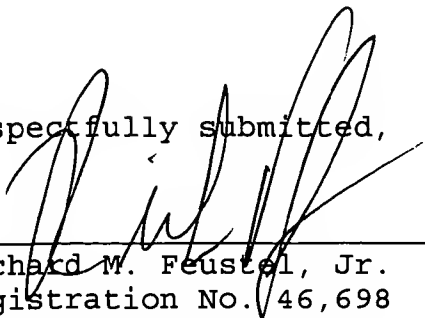
[X] more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this

Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



---

Richard M. Feustel, Jr.  
Registration No. 46,698  
Attorney for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000



Express Mail No:  
EK709292574US

UV-225

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael D. Ellis et al.  
Application No. : 09/996,052 Confirmation No.: 1252  
Filed : November 28, 2001  
For : INTERACTIVE TELEVISION APPLICATION  
WITH RESEARCH FEATURES  
Group Art Unit : 2152

**RECEIVED**

MAY 28 2003

Technology Center 2100

Hon. Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,  
applicants hereby make the following documents of record in  
the above-identified patent application:

U.S. Patents

Harvey et al. U.S. Patent No. 4,694,490 (09/15/87)  
Harvey et al. U.S. Patent No. 4,965,825 (10/23/90)

These documents are listed on the accompanying  
Form PTO-1449 (submitted in duplicate). Copies of the  
cited documents are enclosed herewith.

Applicants respectfully request that the cited documents be considered in connection with this patent application.

Respectfully submitted,



---

Richard M. Feustel, Jr.  
Reg. No. 46,698  
Attorney for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (650) 617-4000  
Fax: (212) 596-9090



5-27-03

2152

#9

E

12-29-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael D. Ellis et al.

Application No.: 09/996,052 Confirmation No.: 1252

Filed : November 28, 2001

For : INTERACTIVE TELEVISION APPLICATION WITH  
RESEARCH FEATURES

Group Art Unit : 2152

Examiner : Not yet assigned

RECEIVED

MAY 28 2003

Technology Center 2100

New York, New York 10020  
May 23, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATION

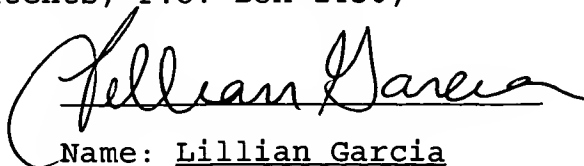
Express Mail Label No. EK709292574US

Date of Deposit: May 23, 2003

I hereby certify that this certification and the following papers:

1. Second Supplemental Information Disclosure Statement
2. Transmittal Letter (in duplicate),
3. Form 1449 (in duplicate) and.
4. Copies of cited references.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Name: Lillian Garcia

Express Mail No:  
EK709292574US

Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
UV-225

SERIAL NO.  
09/996,052

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

APPLICANTS Michael D. Ellis et al.

FILING DATE 11/28/01

GROUP 2152



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 4,694,490	09/15/87	Harvey et al.	380	20	
	US 4,965,825	10/23/90	Harvey et al.	380	9	

RECEIVED

MAY 28 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.